



2872  
DUP

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/919,711
Filing Date	08/01/2001
First Named Inventor	William K. Bischel
Group Art Unit	
Examiner Name	
Attorney Docket Number	GEMF 2050-1

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

RECEIVED  
APR 23 2002  
TECHNICAL SERVICES 2800

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Charles B. Katz, Reg. No. 36,564

Signature

Date

04/09/2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

04/10/2002

Typed or printed name

Charles B. Katz

Signature

Date

04/10/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/919,711  
Title: Device and Method for Variable Attenuation of an Optical Channel  
Applicants: William K. Bischel, et al.  
Filed: August 1, 2001  
Attorney Ref. No.: GEMF 2050-1  
Art Unit: Unknown  
Examiner: Unknown

RECEIVED  
APR 23 2002  
TECHNICAL SERVICES 2800

Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §56 and §§97-98, enclosed please find an Information Disclosure Statement relating to the above-identified Application, including a completed Form PTO-1449 and copies of the two (2) references cited therein. The filing of this Information Disclosure Statement should not be construed as a representation that a search has been made, nor should it be construed to be an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §56(b).

Respectfully,

Charles B. Katz, Reg. No. 36,564  
Tel. No.: 650-849-6867

Dated: 9 April 2002